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EV026160720
U.S. PTO

1-18-02

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PTO/SB/05 (4/98)
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|--|--|--------------------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small> | Attorney Docket No. | MI22-1904 |
| | First Inventor or Application Identifier | Michael Nuttall |
| | Title | Methods of Forming a Capacitor |
| | Express Mail Label No. | EV026160720 |

| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small> | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 | |
|--|--|--|
| 1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix) | |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages 34] <small>(preferred arrangement set forth below)</small> - Descriptive title of the Invention +title page - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure | 6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies | |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5] | ACCOMPANYING APPLICATION PARTS 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input checked="" type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 13. <input type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application <small>(PTO/SB/09-12)</small> Status still proper and desired 14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 15. <input checked="" type="checkbox"/> Other: Check in the amount of \$740.00 | |
| 4. Oath or Declaration [Total Pages 2] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). | | |
| * NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28). | | |
| 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment. <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. 09/843,116 Prior application information: Examiner K. Christianson Group / Art Unit 2813 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | |

| | | | | | |
|---|-------------------------------|-----------|--------------|--|--------------|
| 17. CORRESPONDENCE ADDRESS | | | | | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | | 021567 | | or <input type="checkbox"/> Correspondence address below | |
| <small>(Insert Customer No. or Attach bar code label here)</small> | | | | | |
| Name | Mark S. Matkin | | | | |
| | Wells St. John P.S. | | | | |
| Address | 601 W. First Ave., Suite 1300 | | | | |
| City | Spokane | State | WA | Zip Code | 99201-3828 |
| Country | | Telephone | 509-624-4276 | Fax | 509-838-3424 |

| | | | |
|-------------------|---|-----------------------------------|---------|
| Name (Print/Type) | Mark S. Matkin | Registration No. (Attorney/Agent) | 32,268 |
| Signature |  | Date | 1/14/02 |

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FEE TRANSMITTAL
for FY 2000Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 CFR §§ 1.27 and 1.28**TOTAL AMOUNT OF PAYMENT** (\$)**740.00****Complete if Known**

| | |
|----------------------|-----------------|
| Application Number | 09/843,116 |
| Filing Date | April 24, 2001 |
| First Named Inventor | Michael Nuttall |
| Examiner Name | K. Christianson |
| Group / Art Unit | 2813 |
| Attorney Docket No. | MI22-1904 |

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **23-0925**Deposit Account Name **Wells St. John**☒ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101 | 690 | 201 | 345 | Utility filing fee | 740.00 |
| 106 | 310 | 206 | 155 | Design filing fee | |
| 107 | 480 | 207 | 240 | Plant filing fee | |
| 108 | 690 | 208 | 345 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$)**740.00****2. EXTRA CLAIM FEES**

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 8 | -20** = 0 | 0 | 0 |
| 2 | -3** = 0 | 0 | 0 |
| Multiple Dependent | | 0 | 0 |

**or number previously paid, if greater, For Reissues, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------|-----------------|----------------|-----------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 78 | 202 | 39 | Independent claims in excess of 3 |
| 104 | 260 | 204 | 130 | Multiple dependent claim, if not paid |
| 109 | 78 | 209 | 39 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)**0.00****FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|---------------------------|-----------------|----------------|-----------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 0.00 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | 0.00 |
| 139 | 130 | 139 | 130 | Non-English specification | 0.00 |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | 0.00 |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | 0.00 |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | 0.00 |
| 115 | 110 | 215 | 55 | Extension for reply within first month | 0.00 |
| 116 | 380 | 216 | 190 | Extension for reply within second month | 0.00 |
| 117 | 870 | 217 | 435 | Extension for reply within third month | 0.00 |
| 118 | 1,360 | 218 | 680 | Extension for reply within fourth month | 0.00 |
| 128 | 1,850 | 228 | 925 | Extension for reply within fifth month | 0.00 |
| 119 | 300 | 219 | 150 | Notice of Appeal | 0.00 |
| 120 | 300 | 220 | 150 | Filing a brief in support of an appeal | 0.00 |
| 121 | 260 | 221 | 130 | Request for oral hearing | 0.00 |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | 0.00 |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | 0.00 |
| 141 | 1,210 | 241 | 605 | Petition to revive - unintentional | 0.00 |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | 0.00 |
| 143 | 430 | 243 | 215 | Design issue fee | 0.00 |
| 144 | 580 | 244 | 290 | Plant issue fee | 0.00 |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | 0.00 |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | 0.00 |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | 0.00 |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 0.00 |
| 146 | 690 | 246 | 345 | Filing a submission after final rejection (37 CFR § 1.129(a)) | 0.00 |
| 149 | 690 | 249 | 345 | For each additional invention to be examined (37 CFR § 1.129(b)) | 0.00 |
| Other fee (specify) _____ | | | | | 0.00 |
| Other fee (specify) _____ | | | | | 0.00 |

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**0.00****SUBMITTED BY**

| | | | | | |
|-------------------|---|----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Mark S. Matkin | Registration No (Attorney/Agent) | 32,268 | Telephone | 509-624-4276 |
| Signature |  | | | Date | 1-14-02 |

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